

GABELLI FUNDS LETTER OF INTENT

For assistance, please call 800-GABELLI (800-422-3554)

REDUCTION OF SALES CHARGE FOR CLASS A SHARES

Date

Account Name

Dealer #

Account #

Office #

1. LETTER OF INTENT (LOI)

☐ I plan to invest an aggregate amount over a 13-month period in one or more Gabelli Funds Class A accounts. The aggregate amount will be at least:

☐ \$50,000 ☐ \$100,000 ☐ \$250,000 ☐ \$500,000 ☐ \$1,000,000 ☐ \$2,000,000 ☐ \$5,000,000 or more

I understand that if I do not invest the intended amount within 13 months, the sales charge will be adjusted by the redemption of shares in my account as specified in the Statement of Additional Information. A portion of your account (up to 5.75%) may be held in escrow to cover additional Class A sales charges that may be due if your total investments over the 13-month period do not qualify for the applicable sales charge reduction. You will earn income, dividends, and capital gains distributions on escrowed shares. Your escrowed shares will be released when you have invested the amount provided in your LOI.

2. RIGHTS OF ACCUMULATION (ROA)

☐ I, my spouse or children under age 21, own shares in one or more Gabelli Funds Class A and/or Class C shares which may entitle me to a reduced sales charge. The Social Security and/or account number(s) on these accounts are:

Social Security Number(s)

Account Number(s)

3. SIGNATURE (required)

Registered Owner Signature

Date

Co-Owner Signature

Date

4. SUBMISSION

Submitted by:

Phone #:

Registered Representative Signature

Please mail completed form to: Gabelli Funds, P.O. Box 219204, Kansas City, MO 64121-9204 or use enclosed Business Reply Envelope