

GABELLI FUNDS

AFFIDAVIT FOR NON-PROBATE TRANSFER TO LISTED BENEFICIARY FOR TRANSFER ON DEATH ACCOUNT

Use this form if you are listed as a TOD Beneficiary on a Taxable Account. Please also include an Account Application. For assistance, please call 800-GABELLI (800-422-3554)

As a duly designated beneficiary of _____, whose date of death was _____, I am requesting transfer of ownership of the shares to which I am entitled.

Also provide below, or on an attached list, the names and dates of death of any beneficiaries that you know are deceased and whose date of death preceded the death of the shareholder.

(If this request is not Medallion Signature Guaranteed and instead is notarized, you must include a certified copy of the death certificate for the shareholder, and also for any beneficiaries who predeceased the shareholder.)

Existing Fund Name and Account Number _____

1. Please: (Check one of the below options)

_____ Transfer shares to an account in my name. I am enclosing a completed Fund Application.

_____ Transfer and redeem shares to the following party whose information is provided below. I am enclosing a completed Form W-9 required for this tax-reportable transaction, and, if required by the respective state of the deceased shareholder's residence, an inheritance tax waiver.

Name: _____

Address: _____

Tax Identification Number: _____

2. I affirm that there are no known disputes or competing claims that would affect the transfer of ownership I have requested. In consideration for processing this request, I agree to indemnify DST Asset Manager Solutions, Inc. and UMB Bank, NA and each such party's successors and assigns with respect to any direct liabilities, losses, or reasonable expenses arising from compliance with this request.

3. Under penalties of perjury, I hereby attest the above facts are true and correct.

Signature

Date

(Capacity: required only if signing for the inheriting beneficiary)

(If acting on behalf of the inheriting beneficiary, please indicate either your title or legal capacity or authority to act. If not supplying a Medallion Signature Guarantee, you also must provide documentation of your authority to act for the inheriting beneficiary as a Power of Attorney agent (supply copy of Power of Attorney agreement dated or certified within the past 5 years), or estate representative (supply proof of court appointment).

MEDALLION GUARANTEED BY:

NOTARY PUBLIC BY: